

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/423,126	11/05/99	BUCHTER-LARSEN	A 674509-2020

THOMAS J KOWALSKI ESQ
FROMMER LAWRENCE & HAUG LLP
745 FIFTH AVENUE
NEW YORK NY 10151

0222/0127

NOT ASSIGNED

1649

DATE MAILED: 01/27/00

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

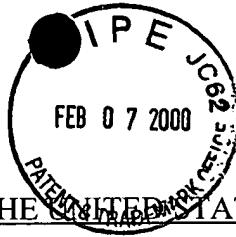
A copy of this notice MUST be returned with the reply.

D. Bates

Customer-Service-Center
Initial Patent Examination Division (703) 308-1202

U.S. GPO 1999 450-5875

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PATENT
674509-2020

Seed #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : BUCHTER-LARSEN ET AL.
Serial No. : 09/423,126
Filed : NOVEMBER 5, 1999
For : A PROCESS FOR PREPARING AN ANTI-OXIDANT

745 Fifth Avenue
New York, New York 10151

I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail in an envelope
addressed to: Assistant Commissioner For Patents
Washington, DC 20231, on February 3, 2000.

THOMAS J. KOWALSKI, Reg. No. 32,147

Name of Applicant, Assignee or Registered Representative

Thomas J. Kowalski
Signature

February 3, 2000

Date of Signature

RESPONSE TO NOTICE TO FILE MISSING PARTS

Asst. Commissioner For Patents
Washington, D.C. 20231

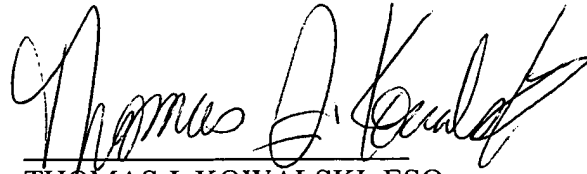
Sir:

In response to the January 27, 2000 Notice to File Missing Parts, enclosed
is a copy of the Communication, executed Declaration and, Check No. 08086 in the
amount of \$130.00 to cover the required surcharge fee, which were express mail filed
with the PTO on December 3, 1999 (see enclosed copy of the return receipt postcard
showing that the PTO received these documents on December 3, 1999).

Please charge any additional fees required or credit any overpayment to
Deposit Account No. 50-0320.

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP

By:

A handwritten signature in black ink, appearing to read "Thomas J. Kowalski", written over a horizontal line.

THOMAS J. KOWALSKI, ESQ.
Reg. No. 32,147
(212) 588-0800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : BUCHTER-LARSEN ET AL.
Serial No. 09/423,126
Filed NOVEMBER 5, 1999
For A PROCESS FOR PREPARING AN ANTI-OXIDANT



745 Fifth Avenue
New York, New York 10151

EXPRESS MAIL

Mailing Label Number: EL375380535US

Date of Deposit: December 3, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Edward Nay

(Typed or printed name of person mailing paper or fee)

Edward Nay

(Signature of person mailing paper or fee)

COMMUNICATION

Hon. Commissioner of Patent and Trademarks
Washington, D.C. 20231
BOX: PCT
Sir:

Attached is the original executed inventors' declaration. Also enclosed is Check No. 08086 for \$130.00 to cover the required surcharge fee.

This Communication is being filed without a copy of the Notice to Filing Missing Parts because that document has not yet been received by applicants' counsel. If such a

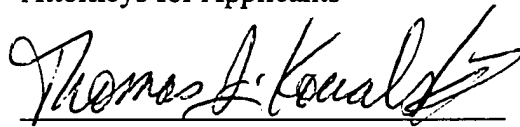
SJL2024

Notice is mailed by the PTO before this Communication is processed, it is requested that this Communication be considered as responsive to the Notice to Filing Missing Parts.

Please charge any additional fees required to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

A handwritten signature in black ink, appearing to read "Thomas J. Kowalski", with a stylized flourish at the end.

Thomas J. Kowalski

Reg. No. 32,147

(212) 588-0800



Serial No. 09/423,126 File No. 674599-2020 By ML/Sr
Title In the Matter of the Application of BILHAR - LARSEN ET AL
The following due _____ in the U.S. Patent Office, was received in the Patent Office
☐ Affidavit ☐ Declaration ☐ Express Mail Mailing Certificate
☐ Amendment ☐ (separate sheet)
☐ Preliminary Amendment ☒ Check No. 08086 for \$ 130.00
☐ Amendment After Final Rejection ☐ Deposit Account Order Form
☐ Request for Extension of Time ☐ Drawing _____ Sheet(s)
☐ Provisional Patent Application ☐ Information Disclosure Statement
☐ Application for Patent, including ☐ PTO Form 1449,
_____ Pages Specification _____ Claims ☐ Issue Fee Transmittal
☒ Declaration ☐ Oath ☒ Power ☐ Brief ☐ Letter
☐ Request for Filing Continuation or Divisional ☐ Application for TM Registration
Application _____ sheets, in duplicate Including _____ Specimens
☐ File Wrapper Continuation Patent Application ☐ Status Request ☐ Notice of Appeal
_____ sheets, in duplicate ☐ Petition ☐ Response
☐ PCT Request _____ sheets, including ☐ Priority Document
☐ Transmittal Letter to the US/RO ☐ Small Entity Declaration
☐ Assignment ☐ Recordation Cover Sheet ☒ COMMUNICATION

EXPRESS MAIL
EL 3753805352LS DECEMBER 3, 1999

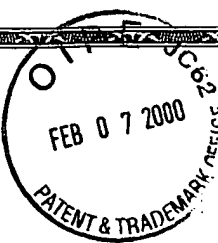
430 Rec'd PCT/PTO 03 DEC 1999

Serial No. 09/423,126 File No. 674599-2020 By ML/Sr
Title In the Matter of the Application of BILHAR - LARSEN ET AL
The following due _____ in the U.S. Patent Office, was received in the Patent Office
☐ Affidavit ☐ Declaration ☐ Express Mail Mailing Certificate
☐ Amendment ☐ (separate sheet)
☐ Preliminary Amendment ☒ Check No. 08086 for \$ 130.00
☐ Amendment After Final Rejection ☐ Deposit Account Order Form
☐ Request for Extension of Time ☐ Drawing _____ Sheet(s)
☐ Provisional Patent Application ☐ Information Disclosure Statement
☐ Application for Patent, including ☐ PTO Form 1449,
_____ Pages Specification _____ Claims ☐ Issue Fee Transmittal
☒ Declaration ☐ Oath ☒ Power ☐ Brief ☐ Letter
☐ Request for Filing Continuation or Divisional ☐ Application for TM Registration
Application _____ sheets, in duplicate Including _____ Specimens
☐ File Wrapper Continuation Patent Application ☐ Status Request ☐ Notice of Appeal
_____ sheets, in duplicate ☐ Petition ☐ Response
☐ PCT Request _____ sheets, including ☐ Priority Document
☐ Transmittal Letter to the US/RO ☐ Small Entity Declaration
☐ Assignment ☐ Recordation Cover Sheet ☒ COMMUNICATION

EXPRESS MAIL
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FROMMER LAWRENCE & HAUG LLP

745 FIFTH AVENUE
NEW YORK, NY 10151



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PAY TO THE ORDER OF COMMISSIONER OF PATENTS AND TRADEMARKS

\$ 130.00

THE SUM IS 130.00

DOLLARS

THE BANK OF NEW YORK
575 MADISON AVENUE
NEW YORK, NY 10022

CHARGE ANY ADD'L FEES TO DEPOSIT ACCT. #50-0320

FROMMER LAWRENCE & HAUG LLP

Thomas J. Kudryk

⑈0000008086⑈ ⑆021000018⑆ ⑈6301147455⑈

DETACH AND RETAIN THIS STATEMENT

FROMMER LAWRENCE & HAUG LLP

THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW.
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

APPLICANT

SERIAL NO.

TITLE

Buckley, LARSEN ET AL.

09/723, 126

F L & H DOCKET NO.

674509-2020

A PROCESS FOR PREPARING AN ANTI-OXIDANT

- ☐ APPEAL BRIEF
- ☐ APPEAL FEE
- ☐ CERTIFICATE OF CORRECTION
- ☐ DISCLAIMER
- ☐ EXTENSION OF TIME
- ☐ FEE FOR ADDED CLAIMS
- ☒ FILING FEE *SUBSTANTIAL FEE*
- ☐ ISSUE FEE
- ☐ MAINTENANCE FEE
- ☐ MISSING PARTS
- ☐ NOTICE OF OPPOSITION

- ☐ PETITION FEE
- ☐ PETITION FOR CANCELLATION
- ☐ PETITION TO REVIVE
- ☐ RECORDING FEE
- ☐ REQUEST FOR ORAL HEARING
- ☐ SEC. 8 TM DECLARATION FEE
- ☐ STATEMENT OF USE
- ☐ TM RENEWAL



PLEASE CHARGE ANY ADDITIONAL FEES OR CREDIT OVER PAYMENT TO DEPOSIT ACCOUNT NO. 50-0320.

